

Name of Company:
 Company ID No.
 DOC Details:
 Address:

A. SUMMARY OF DOC AUDITS FROM COMMENCEMENT OF DOC CERTIFICATION TILL DATE

(If any Additional DOC/SMC Audits, repetition of Initial/Interim DOC/SMC Audits, reason to be provided in the remarks column)

Sr. No.	Audit Type (Interim/Initial/ Additional/Renewal/ Repeat Initial or Interim)	Audit Date	Ship Type	No. of Ships covered under ship type	Name of Auditor (s)	No. of NCs with ISM Code Clause	Audit recommendations and remarks
1.							
2.							
3.							
4.							
5.							
6.							
7.							
8.							
9.							
10.							

B. PARTICULARS OF SHIP (SHIP TYPE WISE)

Sr. No.	Name of Ship	Ship Type	IMO No.	Date of Built	Gross Tonnage	Date & Port of Last PSC/FSI	Result of PSC/FSI (Detained/not detained)
1.							
2.							
3.							
4.							
5.							
6.							

C. SUMMARY OF SMC AUDIT DETAILS TILL DATE

Sr. No.	Name of Ship	Ship Type	Audit Date	Place of Audit	Name of Auditor	No. of NCs with ISM Code Clause	Audit recommendations and remarks
1.							
2.							
3.							
4.							
5.							
6.							

D. DOC AUDIT DETAILS TILL DATE (SHIP TYPE WISE)

Sr. No.	Audit Date	ISM Code Clause	Statement of Non-conformity	Corrective/Preventive Action
(I) Interim DOC Audit				
1.				
2.				
3.				
4.				
(II) Initial DOC Audit				
1.				
2.				
3.				
4.				
(III) Annual DOC Audit				
1.				
2.				
3.				
4.				
(IV) Renewal DOC Audit				
1.				
2.				
3.				
4.				

Sr. No.	Audit Date	ISM Code Clause	Statement of Non-conformity	Corrective/Preventive Action
(V) Additional DOC Audit				
1.				
2.				
3.				
4.				
Reasons for Additional Audit:				
(VI) Repeat Interim DOC Audit				
1.				
2.				
3.				
4.				
Reasons for Repeat Audit:				
(VII) Repeat Initial DOC Audit				
1.				
2.				
3.				
4.				
Reasons for Repeat Audit:				

E. SMC AUDIT DETAILS TILL DATE

Sr. No.	Audit Date	ISM Code Clause	Statement of Non-conformity	Corrective/Preventive Action
(I) Interim SMC Audit				
1.				
2.				
3.				
4.				
(II) Initial SMC Audit				
1.				
2.				
3.				
4.				
(III) Intermediate SMC Audit				
1.				
2.				
3.				
4.				
(IV) Renewal SMC Audit				
1.				
2.				
3.				
4.				

Sr. No.	Audit Date	ISM Code Clause	Statement of Non-conformity	Corrective/Preventive Action
(V) Additional SMC Audit (reasons to be given for additional audits)				
1.				
2.				
3.				
4.				
Reasons for Additional Audit:				
(VI) Repeat Interim SMC Audit (reasons to be given for repeat audits)				
1.				
2.				
3.				
4.				
Reasons for Repeat Audit:				
(VII) Repeat Initial SMC Audit (reasons to be given for repeat audits)				
1.				
2.				
3.				
4.				
Reasons for Repeat Audit:				