

## A FRAMEWORK OF TRANSPARENCY AUDIT

### BUDGET AND PROGRAMME DIRECTORATE GENERAL OF SHIPPING

2.6	CAG & PAC  paras. The list of CAG audit paras and action taken				
		Sr.No	Para No.	Para	Reply
		1	Para 3(2008-09)	Non recovery of annual fees of 1% together with penalty @ 18% PA from the defaulting private industries	Para treated as closed vide CAG letter dated 4.3.2021.
			Para 5(2006-07)	Inclusion of RKVAH Charges in the Electricity Bills by the BEST	Para treated as closed vide CAG letter dated 4.3.2021.
		3.	Para 2 (2009-12)	Irregularity in award of contract for hiring of car	Para treated as closed vide CAG letter dated 4.3.2021.
		4.	Para 3(2009-12)	Irregularity in award of contract for manpower supply	Reply awaited.
		5.	Para 5(2009-12)	Provisions of MS Act	Reply received vide MSL branch note No. SL-MIS-9(25)/2016 dated 05.04.2018 submitted to audit team
		6.	Para	Irregular outsourcing of carrying	Para treated as closed vide CAG letter dated

		7(2009-12)	out inspections to ROs	4.3.2021.
		7.	Para 2(2013-15) Available expenditure due to delay in demolition and construction of New building	Para treated as closed vide CAG letter dated 4.3.2021.
		8.	Para 3 (2013-15) Delay in issuance of Certificate of Competency (COC)	Replied through Engineering branch note dated 23.5.2017 with detail clarifications and submitted to audit team. Rely received from Nautical branch vide note No 3-NT(1)/2011 dated 1.5.2016 submitted to audit team.
		9.	Para 4(2013-15) Intake capacity towards increased after imposing of ban on increase in intake capacity	Reply forwarded to the office of CAG vide letter No TR/ADT/(2)/2009/50 dated 23.01.2018.
		10.	Para 7(2013-15) Irregularity in Enhancement of Graduate Marine Engineers (GME's) intake from 120 to 160 by Anglo Eastern Maritime Academy , Karjat	Reply forwarded to the office of CAG vide letter No TR/ADT/(2)/2009/50 dated 23.01.2018.
		11.	IIA/Para 2 (2016-17) Discrepancies in the process of contract for conceptualization, fabrication and maintenance of	

		pavilion for Rs 95.99 lakh	
12.	Para 3 (2016-17)	Blocking of funds of R 797.86 due to non-implementation of Phase II of e-Governance	Replied received vide Computer cell note No 2-CC(2)/2017 dated 16.03.2018 and same submitted to audit team.
13.	Para 4(2016-17)	Infructuous expenditure on phase I of e-Governance project for Rs 500.16 lakh	Replied received vide Computer cell note No 2-CC(2)/2017 dated 16.03.2018 and same submitted to audit team.
14.	Para 6 (1015-16)	Short receipt of interest on funds disbursed to IMU (formerly known NSDRC) for phase II of e-Governance of Rs 0.75 crore	Replied received vide Computer cell note No 2-CC(2)/2017 dated 16.03.2018 and same submitted to audit team.
15.	Para 7(2016-17)	Irregular reimbursement of personnel expenses for <b>function of LRIT</b> in violation of Ministry's order as well as provisions of the GFR	Comments received from NT branch vide note No 48NT(03)/LRI/2018 dated 4.4.2018 submitted to Audit team.
16.	Para 9 (2016-17)	Excess payment of rent due to non-approval by <b>the CPWD and rent paid before obtaining of administrative</b> approval/Financial sanction	Reply being submitted

		17.	II B/Para 2(2016-17)	Hiring of Emergency Towing vessels  during the Monsoon Period by SCI  through DG shipping	Reply received vide NT branch Note No. 89-NT(01)/2016-Audit dated 14.03.2018
		18	Para 4(2016-17)	Excess payment of hiring of vehicles for Rs 3.52 lakh and awarding contract for hiring of vehicles on post dated agreement	Reply being submitted
		19.	Para 6 (2016-17)	Deployment of Emergency Towing vessel (ETV) for the current year 2016	Reply received vide NT branch Note No. 89-NT(01)/2016-Audit dated 14.03.2018
		20	Para 11 (2016-17)	Short deduction of Tax at source on  payment of technical or professional services.	Reply submitted to audit team
		21	Para 12(2016-17)	Details of penalty collected on vessels detained in Indian ports during PSC/FSC inspection and penalty paid by Indian Ships detained in foreign ports	Replied through Engineering branch note dated 23.5.2017 with detailed clarification and submitted to audit team.

		22	Para 13(2016-17)	Excess payment of Deputation allowance	<p>So far as Commander Sanjay Anchalwar is concerned, he had paid excess amount of deputation allowance.</p> <p>As regards Comdr Ranjan Biswas is concerned the parent office has been informed to recovery the excess amount paid vide letter No. PB-PNT(4)/2012</p>
		23	Para 14(2016-17)	Contract awarded without Vat TIN No.	Reply being submitted
		24	Para IIA Para 1	Delegation of power to carry out surveys to IRS and other ROs resulted in foregoing of revenue to the extent of Rs 12.41 crore approximately without any government approval	Replied through Engineering branch note No. ENG/ADMN-41(4)/96 Vol.II dated 6.4.2016 with detail clarifications submitted to audit team.
		25	Para 2	Avoidable payment on account of tender processing and double payment of tax - ETV	Reply received vide NT branch Note No. 89-NT(01)/2016-Audit dated 14.03.2018

		26	Para 3	Non-investment of surplus fund in FDs resulted in non-realization of interest and extension of undue benefit to the bank – DGS COMMUNICATION CENTRE	Comments received from NT branch vide note No 48NT(03)/LRI/2018 dated 4.4.2018 submitted to Audit team.
		27	Para II B Para 1	Non reconciliation of monthly expenditure and revenue statement with PAO	Reply forwarded to C&AG vide letter No 2-F&A/AUD(1)/2018 dated 16.9.2019.
			Para 2	Illegal recruitment of seafarers by unlicensed recruitment and placement agencies	Reply being submitted
			Para 3	Irregular reimbursement of visa charges and foreign air ticket cancellation charges	Reply received vide Personnel branch Note No PB-34(3)/2006 dated 14.03.2018
			Para 4	Inaction against the defaulting manpower supply contractor	Reply being submitted